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**Telephone:** 020 7811 2700

April 2021 FOI\_5009

The following information was requested on 31 March 2021:

Could you please provide me with what you have (the dental claims in secondary care settings)?

As I mentioned in my original request, this is the information I am looking for:

- 1- The numbers of clinical negligence claims per year for the period 2010-2020
- 2- The estimated cost per year for the period 2010-2020
- 3- The number of cases and the mean cost by injury for the following:

Nerve damage

Pain

Psychological damage

Burn

Dental damage

Additional surgery

The number of cases and the mean cost by causation for the following:

Delayed or failed diagnosis

Lack of care

Consent

Delayed treatment

Foreign body in situ

Wrong-site surgery

Inappropriate treatment

Also, could you please guide me on where to look for the details of the primary settings?

## **Our Response**

Please note that <u>Clinical Negligence Scheme for Trusts</u> (CNST) only covers dentistry practice where it takes place within a member organisation (e.g. Bristol dental hospital at University Hospitals Bristol Foundation Trust) and **not** the majority of NHS dental service that is provided in dental practices.

We do not indemnify primary care dental work and never have.

Primary Care Dentists would be covered by commercial indemnifiers. You may wish to contact the <u>British Dental Association</u> for further information.

Please find attached the requested information. This information only covers England and not the rest of the UK.

Please note claims notified and open are not guaranteed to be settled in the same year and can take many years to be concluded. Claims notified in any given year will often relate to incidents that have occurred many years prior. Claims closed and settled in one year will often relate to claims notified in different years. Many of the claims notified will have been repudiated and settled without damages paid.

We have supressed low figures as we believe that disclosure of information with this level of granularity is exempt under Section 40(2) by virtue of section 40(3)(a)(i) of the Act, where disclosure to a member of the public would contravene one or more of the data protection principles. The data protection principles are set out in Article 5 of the General Data Protection Regulation. We take the view that it would not be fair or lawful (given the sensitive and confidential nature of the information held) to disclose such information, and any disclosure would therefore contravene the first data protection principle.

In some instances the low numbers of claims (fewer than 5) in each category, the likelihood exists that individuals who are the subject of this information may be identified either from this information alone, or in combination with other available information. In addition to this, as this information is considered to be sensitive personal data (the data subjects' medical condition); NHS Resolution believes it has a greater responsibility to protect those individuals identities', as disclosure could potentially cause damage and/or distress to those involved.

If you would like to know how data is categorised in our Claims database please see the following link: Glossary

## This concludes our response to your request.

If you are not satisfied with the service that you have received in response to your information request, it is open to you to make a complaint and request a formal review of our decisions. If you choose to do this, you should write to <u>Tinku Mitra</u>, Head of Corporate and Information Governance for NHS Resolution, within 28 days of your receipt of this reply. Reviews of decisions made in relation to information requests are carried out by a person who was not involved in the original decision-making about the request.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a review of the decision. Generally, the Information Commissioner will not make a decision unless you have exhausted the local complaints procedure. The address of the Information Commissioner's Office is:

Wycliffe House Water Lane Wilmslow Cheshire SK9 5AF

https://ico.org.uk/

Data correct as at: 2021-03-31



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NB: Number of claims fewer than 5 (and any associated values, within the same row) are masked with a "#" (in accordance with Data Protection guidelines). Accordingly, some total values may also be approximated to prevent masked values to be deduced through reverse calculation.

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<u>Table 2: Number of Clinical Negligence Claims where the Specialty is Dentistry, Notified between Financial Years 2010/11 and 2019/20, broken down by Primary Cause</u>

Table 3: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

Table 4: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Cause

Burn(s)

Unnecessary Pain

Dental Damage

Nerve Damage

Tissue Damage

Unnecessary Pain

Joint Damage

Dental Damage

Nerve Damage

Tendon Damage

Tissue Damage

Psychiatric/Psychological Dmge

Adtnl/unnecessary Operation(s)

Scarring

Cancer

Fatality

Fracture

Burn(s)

Other

Other Infection

Cardiac Arrest

Joint Damage

Dental Damage

Multiple Injuries

Nerve Damage

Tissue Damage

Anaphylact Shock/Allergic Shock/allergy

Psychiatric/Psychological Dmge Adtnl/unnecessary Operation(s)

Scarring

Cancer

Fatality

Fracture

Burn(s)

Other

Other Infection

Brain Damage

Unnecessary Pain

Cosmetic Disfigurement

2013/14

Other Visual Problems

Scarring

Fracture Other Infection

Burn(s)

Other

2012/13

Perforation

Poor Outcome - Fractures Etc.

Psychiatric/Psychological Dmge Adtnl/unnecessary Operation(s)

Other



Table 1: Number of Clinical Negligence Claims where the Specialty is Dentistry, Notified between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

5 #

20

#

35

9

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#

97

# 7 #

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24

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23

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114

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15

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6

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111

Notifications	Y
Clinical_NonClinical	Clinical
Scheme	CNST
Year of Notification Primary Injury Types	No. of Claims
2010/11	87
Psychiatric/Psychological Dmge	#
Adtnl/unnecessary Operation(s)	8
Fracture	#
Burn(s)	#
Other	#
Bruising/ Extravasation	#
Unnecessary Pain	23
Cosmetic Disfigurement	#
Poor Outcome - Fractures Etc.	#
Dental Damage	35
Nerve Damage	#
Cancer	#
Anaphylact Shock/Allergic Shock/allergy	#
2011/12	94
Psychiatric/Psychological Dmge	#
Adtnl/unnecessary Operation(s)	12
Fracture	Ę



Table 1: Number of Clinical Negligence Claims where the Specialty is Dentistry, Notified between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

otifications linical_NonClinical cheme	Y Clinical CNST
ear of Notification Primary Injury Types	No. of Clair
Unnecessary Pain	
Cosmetic Disfigurement	
Joint Damage	
Dental Damage	
Nerve Damage	
Scarring	
H.I.V.	
Bodily Harm/Murder	
Anaphylact Shock/Allergic Shock/allergy	
2015/16	1
Fatality	
Psychiatric/Psychological Dmge	
Adtnl/unnecessary Operation(s)	
Fracture	
Other Infection	
Burn(s)	
Unnecessary Pain	
Meningitis	
Cosmetic Disfigurement	
Advanced Stage Cancer	
Dental Damage	
Nerve Damage	
Scarring	
Cancer	
Benign Tumour	
2016/17	1
Adtnl/unnecessary Operation(s)	
Fracture	
Other Infection	
Burn(s)	
Other	
Unnecessary Pain	
Dislocation	
Cosmetic Disfigurement	
Poor Outcome - Fractures Etc.	
Dental Damage	
Nerve Damage	
Scarring	
Fistula	
2017/18	
Adtnl/unnecessary Operation(s)	
Burn(s)	
Other	
Bruising/ Extravasation	
Unnecessary Pain	
Dental Damage	
Nerve Damage	
Scarring	
Infectious Diseases	
2018/19	
Fatality	
Adtnl/unnecessary Operation(s)	
Other Infection	
Burn(s)	
Other	
Unnecessary Pain	
Cosmetic Disfigurement	
Dental Damage	
Nerve Damage	
Cancer	
Tissue Damage	
2019/20	
Fatality	
Psychiatric/Psychological Dmge	
Adtnl/unnecessary Operation(s)	
Fracture	
Other Infection	
Burn(s)	
Unnecessary Pain	
Dental Damage	
Nerve Damage	



Notifications	Υ
Clinical_NonClinical	Clinical
Scheme	CNST
Year of Notification Primary Injury Types	No. of Claims
Scarring	#
Grand Total	989



NotificationsYClinical\_NonClinicalClinicalSchemeCNST

Scrience	CNST
Year of Notification Primary Cause Types	No. of Claims
2010/11	
	87
Application Of Excess Force	#
Bacterial Infection	#
Delay In Performing Operation	#
Equipment Malfunction	#
Fail / Delay Treatment	14
Fail To Recog. Complication Of	#
Fail To Warn-Informed Consent	6
Failure To Perform Tests	#
Failure To X-Ray	#
Failure/Delay Diagnosis	#
Foreign Body Left In Situ	#
Inadequate Monitoring Intra-Op	#
Inappropriate Treatment	18
Intra-Op Problems	12
Lack Of Facilities/Equipment	#
Lack Of Pre-Op Evaluation	#
i	
Medication Errors	#
Operator Error	5
Other	#
Tooth Inj & Patient Posit Prob	6
Wrong Diagnosis	#
Wrong Site Surgery	6
2011/12	94
Application Of Excess Force	#
Bacterial Infection	#
Equipment Malfunction	#
Fail / Delay Treatment	19
Fail To Follow-Up Arrangements	#
Fail To Recog. Complication Of	#
Fail To Supervise	#
Fail To Warn-Informed Consent	6
	#
Failure To Perform Operation	
Failure To X-Ray	#
Failure/Delay Diagnosis	6
Foreign Body Left In Situ	#
Inadequate Monitoring Intra-Op	#
Inappropriate Treatment	15
Intra-Op Problems	13
Lack Of Pre-Op Evaluation	#
Operator Error	7
Other	#
Tooth Inj & Patient Posit Prob	#
Wrong Site Surgery	#
2012/13	97
Application Of Excess Force	#
Delay In Performing Operation	#
- · · · · · · · · · · · · · · · · · · ·	#
Equipment Malfunction  Err With Agnt/Dece/Poute/Sclee	
Err With Agnt/Dose/Route/Selec	#
Fail / Delay Treatment	21
Fail To Follow-Up Arrangements	#
Fail To Recog. Complication Of	#
Fail To Supervise	#
Fail To Warn-Informed Consent	10
Fail/Delay Referring To Hosp.	#
Failure To Perform Operation	#
Failure/Delay Diagnosis	#
Improp. Delegation To Junior	#
Inappropriate Treatment	15
Intra-Op Problems	9
Lack Of Assistance/Care	#
Laur Of Assistance/Oate	#



Notifications	Υ
Clinical_NonClinical	Clinical
Scheme	CNST

Scrience	CNST
Year of Notification Primary Cause Types	No. of Claims
Lack Of Pre-Op Evaluation	#
Operator Error	12
Other	#
Perform. Of Op. Not Indicated	#
Tooth Inj & Patient Posit Prob	5
Wrong Diagnosis	#
Wrong Site Surgery	#
2013/14	111
Application Of Excess Force	#
Equipment Malfunction	#
Fail / Delay Treatment	23
Fail To Act On Abnorm Test Res	#
Fail To Follow-Up Arrangements	#
Fail To Supervise	#
Fail To Warn-Informed Consent	8
Fail/Delay Referring To Hosp.	#
Failure To Perform Operation	#
Failure To Perform Tests	#
Failure/Delay Diagnosis	8
Foreign Body Left In Situ	#
Inadequate Monitoring Intra-Op	#
Inadequate Nursing Care	#
Inappropriate Treatment	22
Incorrect Injection Site	#
Intra-Op Problems	11
Lack Of Assistance/Care	#
	#
Medication Errors	
Operator Error	13
Other Other	#
Perform. Of Op. Not Indicated	#
Tooth Inj & Patient Posit Prob	5
2014/15	114
Bacterial Infection	#
Equipment Malfunction	5
Err With Agnt/Dose/Route/Selec	#
Fail / Delay Treatment	25
Fail To Follow-Up Arrangements	#
Fail To Recog. Complication Of	#
Fail To Supervise	#
Fail To Warn-Informed Consent	5
Failure To Interpret X-Ray	#
Failure To X-Ray	#
Failure/Delay Diagnosis	#
Foreign Body Left In Situ	#
Inappropriate Treatment	16
Intra-Op Problems	14
Lack Of Assistance/Care	#
Lack Of Pre-Op Evaluation	#
Operator Error	10
Other	
	#
Perform. Of Op. Not Indicated	#
Tooth Inj & Patient Posit Prob	7
Wrong Diagnosis	#
Wrong Site Surgery	11
2015/16	120
Application Of Excess Force	#
Equipment Malfunction	#
Err With Agnt/Dose/Route/Selec	#
Fail / Delay Treatment	50
Fail To Carry Out PO Observs.	#
Fail To Recog. Complication Of	#



Notifications	Υ
Clinical_NonClinical	Clinical
Scheme	CNST

Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #		
Fail/Delay Referring To Hosp.	Year of Notification Primary Cause Types	No. of Claims
Failure To Penform Tests	Fail To Warn-Informed Consent	8
Failure/Delay Diagnosis	Fail/Delay Referring To Hosp.	#
Foreign Body Left in Situ	Failure To Perform Tests	#
Intar-Op Problems	Failure/Delay Diagnosis	7
Intra-Op Problems	Foreign Body Left In Situ	#
Lack Of Assistance/Care	Inappropriate Treatment	15
Operator Error         #           Other         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         6           Wrong Site Surgery         8           2016/17         125           Delay In Performing Operation         #           Equipment Malfunction         #           Fail 7 Delay Treatment         43           Fail 7 Delow-Up Arrangements         #           Fail 7 Delow-Up Arrangements         #           Fail To Supervise         #           Fail To Supervise         #           Fail To Supervise         #           Fail Voley Admitting To Hosp.         #           Fail/Delay Admitting To Hosp.         #           Fail/Delay Admitting To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure To Perform Operation         #           Failure To Perform Operation         #           Intra-Op Problems         7           Operator Error         14           Operator Error         14           Operator Error         14           Perform. Of Op. Not Indicated         #	Intra-Op Problems	8
Other Perform. Of Op. Not Indicated Tooth Inj & Patient Posit Prob Go Wrong Site Surgery 2016/17 125 2016/17 2125 Delay In Performing Operation Equipment Malfunction ## Fail / Delay Treatment ## Fail / Delay Treatment ## Fail To Recog. Complication Of ## Fail To Recog. Complication Of ## Fail To Supervise ## Fail To Supervise ## Fail To Supervise ## Fail To Warn-Informed Consent ## Fail To Warn-Informed Consent ## Fail/Delay Admitting To Hosp. ## Failure To Interpret X-Ray ## Failure To Interpret X-Ray ## Failure To Perform Operation ## Inappropriate Treatment ## Intra-Op Problems ## Operator Error ## Operator Error ## Operator Error ## Perform. Of Op. Not Indicated ## Perform. Of Op. Not Indicated ## Perform. Of Op. Not Indicated ## Probs With Medical Records ## Tooth Inj & Patient Posit Prob ## Wrong Site Surgery ## 5017/18 ## 2017/18 ## 37 2017/18 ## 37 2017/19 2017/19 Failure To Interpret X-Ray ## Failure To Informed Consent ## Fail To Inform Test Rsits ## Fail To Inform Operation ## Failure To X-Ray ## Failure To N-Ray ## Failure To Interpret X-Ray ## Failure To N-Ray ## Failure To Interpret X-Ray ## Failure To N-Ray ## Failure To N-R	Lack Of Assistance/Care	#
Perform. Of Op. Not Indicated	Operator Error	#
Tooth Inj & Patient Posit Prob Wrong Site Surgery  2125  Delay In Performing Operation  Equipment Malfunction  ##  Equipment Malfunction ##  Fail / Delay Treatment  43  Fail To Follow-Up Arrangements ##  Fail To Recog, Complication Of  ##  Fail To Supervise ##  Fail To Necog, Complication Of  Fail To Supervise ##  Fail To Warn-Informed Consent ##  Fail/Delay Admitting To Hosp. ##  Fail/United Admitting To Hosp. ##  Fail/United To Perform Operation ##  Failure To Interpret X-Ray ##  Failure To Perform Operation ##  Failure To Perform Operation ##  Intra-Op Problems Operator Error Operator Error  Perform, Of Op, Not Indicated Probs With Medical Records Tooth Inj & Patient Posit Prob Wrong Site Surgery  52  2017/18  Equipment Malfunction ##  Fail / Delay Treatment 28  Fail To Follow-Up Arrangements Fail To Inform Test Rsits ##  Fail To Inform Test Rsits ##  Fail To Warn-Informed Consent Failure-Op Problems  9 ##  Failure To Perform Operation ##  ##  Fail To Inform Test Rsits ##  Fail To Derform Operation ##  ##  Fail To Perform Operation ##  ##  Failure To Interpret X-Ray ##  Failure To Derform Operation ##  Failure To Necog Compleation ##  Intra-Op Problems ##  Intra	Other	#
Wrong Site Surgery	Perform. Of Op. Not Indicated	#
Delay In Performing Operation	Tooth Inj & Patient Posit Prob	6
Delay In Performing Operation	Wrong Site Surgery	8
Equipment Malfunction	2016/17	125
Fail To Follow-Up Arrangements	Delay In Performing Operation	#
Fail To Follow-Up Arrangements	Equipment Malfunction	#
Fail To Supervise	Fail / Delay Treatment	43
Fail To Warn-Informed Consent	Fail To Follow-Up Arrangements	#
Fail To Warn-Informed Consent         7           Fail/Delay Admitting To Hosp.         #           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         6           Inadequate Monitoring Intra-Op         #           Inappropriate Treatment         15           Intra-Op Problems         7           Operator Error         14           Perform. Of Op. Not Indicated         #           Probs With Medical Records         #           Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail To Follow-Up Arrangements         #	Fail To Recog. Complication Of	#
Fail/Delay Admitting To Hosp.	Fail To Supervise	#
Fail/Delay Referring To Hosp.	Fail To Warn-Informed Consent	7
Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         6           Inadequate Monitoring Intra-Op         #           Inappropriate Treatment         15           Intra-Op Problems         7           Operator Error         14           Perform. Of Op. Not Indicated         #           Probs With Medical Records         #           Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail To Bug Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infrm Test Rslts         #           Fail To Infrm Test Rslts         #           Fail To Interpret X-Ray         #           Failure To Interpret X-Ray         #           Failure To N-Ray         #           Failure To N-Ray         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Lack Of Assistance/Care         # <td>Fail/Delay Admitting To Hosp.</td> <td>#</td>	Fail/Delay Admitting To Hosp.	#
Failure To Perform Operation	Fail/Delay Referring To Hosp.	#
Failure/Delay Diagnosis   6   Inadequate Monitoring Intra-Op	Failure To Interpret X-Ray	#
Inadequate Monitoring Intra-Op	Failure To Perform Operation	#
Inappropriate Treatment	Failure/Delay Diagnosis	6
Intra-Op Problems	Inadequate Monitoring Intra-Op	#
Operator Error         14           Perform. Of Op. Not Indicated         #           Probs With Medical Records         #           Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail To Follow-Up Arrangements         #           Fail To Follow-Up Arrangements         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure To X-Ray         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Intra-Op Problems         9           Intubation Problems         9           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         # </td <td>Inappropriate Treatment</td> <td>15</td>	Inappropriate Treatment	15
Perform. Of Op. Not Indicated         #           Probs With Medical Records         #           Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infrm Test Rslts         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         9           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation	Intra-Op Problems	7
Probs With Medical Records         #           Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infrm Test RsIts         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5<	Operator Error	14
Tooth Inj & Patient Posit Prob         8           Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Inferret Relts         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure To X-Ray         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18	Perform. Of Op. Not Indicated	#
Wrong Site Surgery         5           2017/18         87           Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infrm Test Rslts         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         # </td <td>Probs With Medical Records</td> <td>#</td>	Probs With Medical Records	#
2017/18         87           Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infirm Test Rslts         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         9           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         5           Equipment Malfunction         5           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #	Tooth Inj & Patient Posit Prob	8
Equipment Malfunction         #           Fail / Delay Treatment         28           Fail To Follow-Up Arrangements         #           Fail To Infrm Test Rsits         #           Fail To Warn-Informed Consent         9           Fail/Delay Referring To Hosp.         #           Failure To Interpret X-Ray         #           Failure To Perform Operation         #           Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         5           Equipment Malfunction         5           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of	Wrong Site Surgery	5
Fail / Delay Treatment       28         Fail To Follow-Up Arrangements       #         Fail To Infrm Test Rslts       #         Fail To Warn-Informed Consent       9         Fail/Delay Referring To Hosp.       #         Failure To Interpret X-Ray       #         Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	2017/18	87
Fail To Follow-Up Arrangements ## Fail To Infrm Test RsIts ## Fail To Warn-Informed Consent 99 Faii/Delay Referring To Hosp. ## Failure To Interpret X-Ray ## Failure To Perform Operation ## Failure To X-Ray ## Failure/Delay Diagnosis ## Improp. Delegation To Junior ## Inappropriate Treatment 11 Intra-Op Problems 99 Intubation Problems ## Lack Of Assistance/Care ## Operator Error ## Perform. Of Op. Not Indicated ## Tooth Inj & Patient Posit Prob ## Wrong Site Surgery 77 2018/19 76 Delay In Performing Operation ## Equipment Malfunction 5 Fail / Delay Treatment 18 Fail To Act On Abnorm Test Res ## Fail To Recog. Complication 0f	Equipment Malfunction	#
Fail To Infrm Test RsIts       #         Fail To Warn-Informed Consent       9         Fail/Delay Referring To Hosp.       #         Failure To Interpret X-Ray       #         Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Fail / Delay Treatment	28
Fail To Warn-Informed Consent       9         Fail/Delay Referring To Hosp.       #         Failure To Interpret X-Ray       #         Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Fail To Follow-Up Arrangements	#
Fail/Delay Referring To Hosp.       #         Failure To Interpret X-Ray       #         Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Fail To Infrm Test Rslts	#
Failure To Interpret X-Ray       #         Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Fail To Warn-Informed Consent	9
Failure To Perform Operation       #         Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Fail/Delay Referring To Hosp.	#
Failure To X-Ray       #         Failure/Delay Diagnosis       #         Improp. Delegation To Junior       #         Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #		#
Failure/Delay Diagnosis         #           Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Failure To Perform Operation	#
Improp. Delegation To Junior         #           Inappropriate Treatment         11           Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Failure To X-Ray	#
Inappropriate Treatment       11         Intra-Op Problems       9         Intubation Problems       #         Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Failure/Delay Diagnosis	#
Intra-Op Problems         9           Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Improp. Delegation To Junior	#
Intubation Problems         #           Lack Of Assistance/Care         #           Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Inappropriate Treatment	11
Lack Of Assistance/Care       #         Operator Error       #         Perform. Of Op. Not Indicated       #         Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	Intra-Op Problems	9
Operator Error         #           Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Intubation Problems	#
Perform. Of Op. Not Indicated         #           Tooth Inj & Patient Posit Prob         #           Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Lack Of Assistance/Care	#
Tooth Inj & Patient Posit Prob       #         Wrong Site Surgery       7         2018/19       76         Delay In Performing Operation       #         Equipment Malfunction       5         Fail / Delay Treatment       18         Fail To Act On Abnorm Test Res       #         Fail To Recog. Complication Of       #	· · · · · · · · · · · · · · · · · · ·	#
Wrong Site Surgery         7           2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	·	#
2018/19         76           Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	Tooth Inj & Patient Posit Prob	#
Delay In Performing Operation         #           Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	· · · · · · · · · · · · · · · · · · ·	7
Equipment Malfunction         5           Fail / Delay Treatment         18           Fail To Act On Abnorm Test Res         #           Fail To Recog. Complication Of         #	2018/19	76
Fail / Delay Treatment 18 Fail To Act On Abnorm Test Res # Fail To Recog. Complication Of #	Delay In Performing Operation	
Fail To Act On Abnorm Test Res # Fail To Recog. Complication Of #	Equipment Malfunction	5
Fail To Recog. Complication Of #	·	18
		#
Fail To Warn-Informed Consent #	Fail To Recog. Complication Of	#
	Fail To Warn-Informed Consent	#



Notifications	Υ
Clinical_NonClinical	Clinical
Scheme	CNST

Year of Notification Primary Cause Types	No. of Claims
Fail/Delay Referring To Hosp.	No. of Glains
Failure To Interpret X-Ray	#
Failure/Delay Diagnosis	#
Inappropriate Treatment	10
Intra-Op Problems	10
Lack Of Assistance/Care	#
Medication Errors	7
Operator Error	,
Perform. Of Op. Not Indicated	
Tooth Inj & Patient Posit Prob	7
Wrong Site Surgery	5
2019/20	78
Cross Infection	#
Delay In Performing Operation	#
Equipment Malfunction	#
Fail / Delay Treatment	30
Fail To Infrm Test Rslts	#
Fail To Recog. Complication Of	7
Fail To Warn-Informed Consent	, , , , , , , , , , , , , , , , , , ,
Failure To Interpret X-Ray	· · · · · · · · · · · · · · · · · · ·
Failure/Delay Diagnosis	7
Foreign Body Left In Situ	7
Inappropriate Treatment	7
Intra-Op Problems	,
Lack Of Pre-Op Evaluation	7
Operator Error	1
Perform. Of Op. Not Indicated	
Tooth Inj & Patient Posit Prob	1
Wrong Diagnosis	1
Wrong Site Surgery	#
Grand Total	989



Table 3: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

Closed\_Settled Y
Clinical\_NonClinical Claim\_Outcome\_FOI Clinical Damages Paid

Year of Closure (Settlement Year for PPOs) Primary Injury Types	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
2010/11	44	403,828	103,306	491,306	998,440
Psychiatric/Psychological Dmge	#	#	#	#	#
Adtnl/unnecessary Operation(s)	5	22,500	1,502	33,015	57,017
Other Infection	#	#	#	#	#
Burn(s)	#	#	#	#	#
Unnecessary Pain	8	27,600	15,479	24,107	67,186
Cosmetic Disfigurement	#	#	#	#	#
Advanced Stage Cancer	#	#	#	#	#
Dental Damage	16	170,388	40,933	161,961	373,282
Nerve Damage	#	#	#	#	#
Scarring	#	#	#	#	#
Tissue Damage	#	#	#	#	#
2011/12	57	431,876	84,909	479,699	996,484
Adtnl/unnecessary Operation(s)	7	45,460	5,632	61,583	112,675
Fracture	#	#	#	#	#
Other Infection	#	#	#	#	#
Burn(s)	#	#	#	#	#
Bruising/ Extravasation	#	#	#	#	#
Unnecessary Pain	10	75,050	8,916	43,725	127,691
Dental Damage	29	177,669	52,900	262,441	493,010
Nerve Damage	5	99,125	6,461	59,950	165,536
Anaphylact Shock/Allergic Shock/allergy	#	#	#	#	#
2012/13	72	475,097	146,321	799,921	1,421,338
Adtnl/unnecessary Operation(s)	6	49,547	5,395	63,140	118,082
Fracture	#	#	#	#	#
Burn(s)	6	37,279	7,726	78,050	123,055
Other	#	#	#	#	0,000
Unnecessary Pain	17	48,239	59,187	174,600	282,026
Other Visual Problems	#	#	#	#	#
Dental Damage	33	201,388	48,375	311,169	560,933
Nerve Damage	#	#	#	#	#
Scarring	#	#	#	#	#
2013/14	51	407,565	61,720	587,995	1,057,280
Psychiatric/Psychological Dmge	#	#	#	#	#
Adtnl/unnecessary Operation(s)	8	68,047	6,248	108,472	182,767
Fracture	#	#	#	#	#
Burn(s)	#	#	#	#	#
Other	#	#	#	#	#
Unnecessary Pain	10	46,218	6,121	55,855	108,194
Poor Outcome - Fractures Etc.	#	#0,210	#	#	100,13-
Dental Damage	18	106,650	27,119	206,520	340,289
Nerve Damage	6	91,000	11,718	91,218	193,936
Scarring	#	#	#	#	193,930
2014/15	54	487,744	96,896	811,698	1,396,338
Adtnl/unnecessary Operation(s)	6	53,500	11,529	81,507	146,536
Fracture	#	#	#	#	140,530
Other Infection	#	#	#	#	#
Outer Intection	#	#	#	#	Ŧ



Table 3: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

Closed\_Settled Y
Clinical\_NonClinical Claim\_Outcome\_FOI Clinical Damages Paid

ar of Closure (Settlement Year for PPOs) - Primary Injury Types	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
Burn(s)	#	#	#	#	i
Other	#	#	#	#	:
Unnecessary Pain	7	46,750	11,691	93,451	151,89
Poor Outcome - Fractures Etc.	#	#	#	#	
Dental Damage	29	208,525	57,154	463,542	729,22
Nerve Damage	#	#	#	#	
Scarring	#	#	#	#	
Tissue Damage	#	#	#	#	
2015/16	60	490,929	102,568	807,643	1,401,13
Psychiatric/Psychological Dmge	#	#	#	#	
Adtnl/unnecessary Operation(s)	9	74,448	12,065	114,237	200,75
Other Infection	#	#	#	#	
Burn(s)	6	51,715	6,271	84,671	142,65
Other	#	#	#	#	,
Unnecessary Pain	8	71,796	15,070	96,375	183,24
Cosmetic Disfigurement	#	#	#	#	
Dental Damage	24	181,385	49,177	389,743	620,30
Nerve Damage	#	#	#	#	•
Scarring	#	#	#	#	
Tissue Damage	#	#	#	#	
Bodily Harm/Murder	#	#	#	#	
Anaphylact Shock/Allergic Shock/allergy	#	#	#	#	
2016/17	60	588,039	188,501	1,063,516	1,840,05
Fatality	#	#	#	#	, ,
Psychiatric/Psychological Dmge	#	#	#	#	
Adtnl/unnecessary Operation(s)	10	76,585	20,082	131,326	227,99
Fracture	#	#	#	#	,-
Other Infection	#	#	#	#	
Burn(s)	7	57,500	15,943	88,125	161,56
Unnecessary Pain	8	44,700	16,951	144,756	206,40
Cosmetic Disfigurement	#	#	#	#	
Dental Damage	22	172,407	64,898	363,044	600,34
Nerve Damage	#	#	#	#	000,0
Scarring	#	#	#	#	
2017/18	60	871,749	285,703	1,507,479	2,664,93
Fatality	#	#	#	#	_,551,60
Psychiatric/Psychological Dmge	#	#	#	#	
Adtnl/unnecessary Operation(s)	11	104,065	23,629	118,769	246,46
Other Infection	#	#	#	#	0,0
Burn(s)	#	#	#	#	
Unnecessary Pain	10	42,250	17,277	136,250	195,77
Dental Damage	22	200,857	87,993	388,627	677,47
Nerve Damage	5	250,435	66,644	213,325	530,40
Scarring	#	230,433	#	#	330,40
Cancer	#	#	#	#	
2018/19	55	903,984	208,768	977,258	2,090,01
.010/19	#	303,304	#	#	2,030,0



Table 3: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Injury

Closed\_Settled Y
Clinical\_NonClinical Claim\_Outcome\_FOI Clinical Damages Paid

Year of Closure (Settlement Year for PPOs) Primary Injury Types	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
Fracture	#	#	#	#	#
Burn(s)	#	#	#	#	#
Other	#	#	#	#	#
Unnecessary Pain	9	142,164	30,676	178,629	351,469
Poor Outcome - Fractures Etc.	#	#	#	#	#
Dental Damage	21	295,107	76,960	401,297	773,364
Nerve Damage	13	280,602	56,128	198,129	534,859
Tissue Damage	#	#	#	#	#
Benign Tumour	#	#	#	#	#
2019/20	64	784,403	245,962	1,076,616	2,106,981
Adtnl/unnecessary Operation(s)	#	#	#	#	#
Fracture	#	#	#	#	#
Other Infection	#	#	#	#	#
Burn(s)	#	#	#	#	#
Unnecessary Pain	13	140,308	84,287	299,900	524,495
Cosmetic Disfigurement	#	#	#	#	#
Dental Damage	34	316,977	79,780	469,132	865,889
Nerve Damage	7	225,044	52,954	166,734	444,732
Grand Total	577	5,845,213	1,524,654	8,603,129	15,972,996



Table 4: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Cause

Closed\_Settled Y
Clinical\_NonClinical (All)

Claim\_Outcome\_FOI Damages Paid

Year of Closure (Settlement Year for PPOs) Pimary Causes	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
2010/11	44	403,828	103,306	491,306	998,440
Fail / Delay Treatment	7	121,900	70,872	190,845	383,617
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	#	#	#	#	#
Intra-Op Problems	8	61,353	3,695	51,192	116,240
Operator Error	10	62,340	7,128	70,644	140,113
Foreign Body Left In Situ	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
Unknown	#	#	#	#	#
Tooth Inj & Patient Posit Prob	#	#	#	#	#
2011/12	57	431,876	84,909	479,699	996,484
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	8	73,072	13,700	88,298	175,070
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Supervise	#	#	#	#	#
Fail To Warn-Informed Consent	7	102,505	13,787	70.700	186,992
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	10	44,619	7,420	26,815	78,854
Intra-Op Problems	8	47,604	17,215	71,887	136,706
Other	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Application Of Excess Force	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Medication Errors	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Inappropriate Discharge	#	#	#	#	#
Failure To X-Ray	#	#	#	#	#
	#	#	#	#	#
Wrong Site Surgery Tooth Inj & Patient Posit Prob	#	#	#	#	#
2012/13					
	72	475,097	146,321	799,921	1,421,338
Equipment Malfunction	#	#	70.004	#	400.004
Fail / Delay Treatment	28	90,309	72,381	263,334	426,024
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure To Perform Operation	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	7	58,797	26,617	109,950	195,364
Intra-Op Problems	6	39,847	6,938	86,800	133,585
Lack Of Facilities/Equipment	#	#	#	#	#
Operator Error	#	#	#	#	#
Foreign Body Left In Situ	#	#	#	#	#
Application Of Excess Force	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Diathermy Burns/react. To Prep	#	#	#	#	#
Bacterial Infection	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
Tooth Inj & Patient Posit Prob	#	#	#	#	#
2013/14	51	407,565	61,720	587,995	1,057,280



Table 4: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Cause

Closed\_Settled Υ Clinical\_NonClinical (All)

Claim\_Outcome\_FOI Damages Paid

Year of Closure (Settlement Year for PPOs) Pimary Causes	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	6	17,797	4,397	28,600	50,794
Fail To Warn-Informed Consent	5	87,250	10,709	68,018	165,977
Failure To Perform Operation	#	#	#	#	105,577
Inappropriate Treatment	11	69,800	20,649	152,975	243,424
	9	56,950	5,732	77,872	140,554
Intra-Op Problems	#				
Lack Of Assistance/Care		#	#	#	#
Other	#	#	#	#	#
Operator Error	6	62,068	4,993	57,575	124,636
Foreign Body Left In Situ	#	#	#	#	#
Lack Of Pre-Op Evaluation	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Failure To X-Ray	#	#	#	#	
Wrong Site Surgery	#	#	#	#	#
Tooth Inj & Patient Posit Prob	#	#	#	#	7
2014/15	54	487,744	96,896	811,698	1,396,338
Equipment Malfunction	#	#	#	#	7
Fail / Delay Treatment	5	16,250	5,942	53,722	75,914
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Recog. Complication Of	#	#	#	#	7
Fail To Supervise	#	#	#	#	-
Fail To Warn-Informed Consent	7	144,219	14,571	145,259	304,049
Failure/Delay Diagnosis	#	#	#	#	304,043
Inadequate Monitoring Intra-Op	#	#	#	#	7
Inappropriate Treatment	10	82,350	13,204	134,207	229,76
Intra-Op Problems	7	53,500	10,016	116,534	180,050
Operator Error	6	54,500	11,449	82,505	148,454
Fail/Delay Referring To Hosp.	#	#	#	#	7
Perform. Of Op. Not Indicated	#	#	#	#	7
Wrong Site Surgery	#	#	#	#	7
Tooth Inj & Patient Posit Prob	5	44,500	7,064	79,183	130,747
2015/16	60	490,929	102,568	807,643	1,401,139
Equipment Malfunction	#	#	#	#	7
Fail / Delay Treatment	7	90,719	10,107	83,982	184,80
Fail To Follow-Up Arrangements	#	#	#	#	1
Fail To Recog. Complication Of	#	#	#	#	;
Fail To Supervise	#	#	#	#	;
Fail To Warn-Informed Consent	#	#	#	#	;
Failure To Interpret X-Ray	#	#	#	#	-
Failure/Delay Diagnosis	#	#	#	#	;
Inadequate Nursing Care	#	#	#	#	
Inappropriate Treatment	6	40,025	13,513	55,500	109,038
Intra-Op Problems	5	57,585	20,977	148,000	226,562
<u> </u>					
Operator Error	9	50,250	11,902	96,564	158,71
Fail/Delay Referring To Hosp.	#	#	#	#	-
Perform. Of Op. Not Indicated	#	#	#	#	-
Failure To X-Ray	#	#	#	#	
Wrong Site Surgery	10	35,350	3,178	30,442	68,97
Incorrect Injection Site	#	#	#	#	
Tooth Inj & Patient Posit Prob	#	#	#	#	
2016/17	60	588,039	188,501	1,063,516	1,840,05
Equipment Malfunction	#	#	#	#	
= "/D   =	11	78,654	28,758	134,060	241,47
Fail / Delay Treatment		70,007			
Fail / Delay Treatment Fail To Warn-Informed Consent	#	#	#	#	



Table 4: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Cause

Closed\_Settled Y
Clinical\_NonClinical (All)

Claim\_Outcome\_FOI Damages Paid

Year of Closure (Settlement Year for PPOs) Pimary Causes No. c	f Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
Inappropriate Treatment	7	83,000	24,187	104,656	211,843
Intra-Op Problems	9	84,965	51,173	282,393	418,531
Lack Of Assistance/Care	#	#	#	#	#
Other	#	#	#	#	#
Operator Error	6	34,000	12,384	80,350	126,734
Application Of Excess Force	#	#	#	#	#
Fail To Act On Abnorm Test Res	#	#	#	#	#
Wrong Diagnosis	#	#	#	#	#
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Wrong Site Surgery	5	30.000	11,104	77,700	118,804
Tooth Inj & Patient Posit Prob	5	41,438	5,984	98,524	145,946
2017/18	60	871,749	285,703	1,507,479	2,664,931
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	16	167,494	82,372	664,865	914,731
Fail To Follow-Up Arrangements	#	#	#	#	#
Fail To Warn-Informed Consent	6	96,649	28,297	94,325	219,271
Failure/Delay Diagnosis	#	#	#	#	#
Inadequate Monitoring Intra-Op	#	#	#	#	#
Inappropriate Treatment	8	119,599	47,178	238,527	405,304
Intra-Op Problems	7	203,052	58,967	205,415	467,434
Other	#	203,032	30,907	200,413	#
Operator Error	6	42,068	9,991	41,542	93,601
Err With Agnt/Dose/Route/Selec	#	42,008	9,991	41,342	93,001
Perform. Of Op. Not Indicated	#	#	#	#	#
Bacterial Infection	#		#	#	
	#	#	#	#	#
Wrong Site Surgery	#	#	#	#	#
Probs With Medical Records		#	#		
Tooth Inj & Patient Posit Prob	# 55			077.250	2 000 040
2018/19		903,984	208,768	977,258	2,090,010
Equipment Malfunction	#	400.077	#	#	#
Fail / Delay Treatment	15	102,677	50,914	209,872	363,462
Fail To Warn-Informed Consent	5	64,500	16,521	82,250	163,271
Failure/Delay Diagnosis	#	#	#	#	#
Inappropriate Treatment	9	115,937	30,206	192,579	338,721
Intra-Op Problems	8	194,754	37,417	135,247	367,418
Operator Error	#	#	#	#	#
Failure To Perform Tests	#	#	#	#	#
Intubation Problems	#	#	#	#	#
Failure To X-Ray	#	#	#	#	#
Wrong Site Surgery	5	93,000	6,561	89,260	188,821
Tooth Inj & Patient Posit Prob	5	113,840	22,286	107,797	243,923
2019/20	64	784,403	245,962	1,076,616	2,106,981
Equipment Malfunction	#	#	#	#	#
Fail / Delay Treatment	16	221,881	57,663	308,555	588,099
Fail To Recog. Complication Of	#	#	#	#	#
Fail To Supervise	#	#	#	#	#
Fail To Warn-Informed Consent	#	#	#	#	#
Failure To Interpret X-Ray	#	#	#	#	#
Failure To Perform Operation	#	#	#	#	#
Failure/Delay Diagnosis	#	#	#	#	#
Inappropriate Treatment	6	99,147	35,029	90,200	224,376
Intra-Op Problems	#	#	#	#	#
Look Of Assistance/Core			.,	щ	#
Lack Of Assistance/Care	#	#	#	#	#
Delay In Performing Operation	#	#	#	#	#



Table 4: Number and Cost of Clinical Negligence Claims where the Specialty is Dentistry, Closed or Settled with Damages Paid between Financial Years 2010/11 and 2019/20, broken down by Primary Cause

 Closed\_Settled
 Y

 Clinical\_NonClinical
 (All)

 Claim\_Outcome\_FOI
 Damages Paid

Year of Closure (Settlement Year for PPOs) Pimary Causes	No. of Claims	Damages Paid	NHS Legal Costs Paid	Claimant Legal Costs Paid	Total Paid
Err With Agnt/Dose/Route/Selec	#	#	#	#	#
Perform. Of Op. Not Indicated	#	#	#	#	#
Wrong Site Surgery	8	43,050	9,493	49,509	102,051
Tooth Inj & Patient Posit Prob	7	51,425	6,238	80,475	138,138
Grand Total	577	5,845,213	1,524,654	8,603,129	15,972,996